

# Expressing boundedness in static computational logic

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# Overview

- 1 SCL
- 2 SCL vs. LFP
- 3 Bounded SCL
- 4  $\text{BndSCL} \leq \text{SCL}$
- 5 Recursively saturated structures
- 6  $\alpha$ -bounded SCL
- 7 On Lindström's second theorem
- 8 Conclusions

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## Definition

Fix a countable set  $LBS = \{L_n \mid n \in \mathbb{N}\}$  of **label symbols**. For each (relational) vocabulary  $\tau$  the set of formulas  $SCL[\tau]$  is defined by

$$\phi ::= x = y \mid R(\bar{x}) \mid C_L \mid \neg\phi \mid \phi \wedge \phi \mid \exists x\phi \mid L\phi,$$

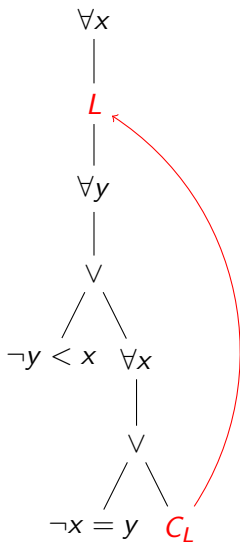
where  $R \in \tau$  and  $L \in LBS$ .

# Evaluation games

- The semantics of SCL are defined in terms of **evaluation (or semantical) games**  $\mathcal{G}_\infty(\mathcal{A}, s, \varphi)$ .
- These games have two players, the **Verifier** and **Falsifier**.
- If the **Verifier** has a winning strategy in  $\mathcal{G}_\infty(\mathcal{A}, s, \varphi)$ , then we write

$$\mathcal{A}, s \models_\infty \varphi$$

# How to define well-foundedness



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## Definition

The logic LFP<sub>1</sub> is defined via the following formula construction rules.

- Every FO-formula is in LFP<sub>1</sub>.
- If  $X$  is a  $k$ -ary relation symbol,  $\varphi(x_1, \dots, x_k)$  is an FO-formula in which  $X$  occurs only positively and  $u_1, \dots, u_k$  are variables, then  $[\text{LFP}_{X, \bar{x}} \varphi] \bar{u}$  is in LFP<sub>1</sub>.
- LFP<sub>1</sub> is closed under  $\wedge, \vee, \exists, \forall$ .

## Theorem (Kleene 1955, Spector 1961)

*LFP<sub>1</sub>-definable relations over  $(\mathbb{N}, +, \cdot, 0, 1)$  coincide with the  $\Pi_1^1$ -relations.*

## Theorem (Immermann 1982)

*LFP<sub>1</sub>  $\equiv$  LFP over finite structures.*



# Expressive power of SCL

- Formulas of  $LFP_1$  can be translated to SCL in a straightforward way.  
E.g.

$$[LFP_{X,x,y}(E(x,y) \vee \exists z(E(z,y) \wedge X(x,z)))]_{xy}$$

translates to

$$L(E(x,y) \vee \exists z(E(z,y) \wedge \exists y(y = z \wedge C_L)))$$

## Theorem

$$SCL \equiv LFP_1$$

- It follows that  $SCL < \forall SO$ .
- A result of Kozen implies that over countable structures we have that

$$SCL + \text{"dictionaries"} \equiv \forall SO$$

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**Algorithm 1** IND-program corresponding to the sentence

$$\forall xL\forall y(y < x \rightarrow \forall x(x = y \rightarrow C_L)).$$

- 
- 1: **Universally choose**  $x$
  - 2: **Universally choose**  $y$
  - 3: **if**  $y \geq x$  **then**
  - 4:     **accept**
  - 5: **end if**
  - 6: **Universally choose**  $x$
  - 7: **if**  $x \neq y$  **then**
  - 8:     **accept**
  - 9: **end if**
  - 10: **goto** Step 2
-

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## Definition

A **reachability game** is a two-player game played on a directed graph  $G = (V, E)$ . The game is defined as follows:

- The vertices  $V$  are partitioned into  $V_1$  and  $V_2$ , where  $V_1$  consists of the vertices controlled by **Player 1** (in this talk **Verifier**) and  $V_2$  consists of the vertices controlled by **Player 2** (**Falsifier**).
- The game starts at an initial vertex  $v_0 \in V$ , and the players take turns choosing edges to traverse, based on the partitioning of  $V$ .

A winner of a finite (maximal) play is determined as follows: if the final node is controlled by the Verifier, Falsifier wins, and otherwise the Verifier wins. Neither player wins infinite plays.

- Let  $\mathcal{G}$  be a reachability game and let  $\sigma$  be a winning strategy for  $P \in \{\text{Verifier}, \text{Falsifier}\}$ . The set of plays of  $\mathcal{G}$  where  $P$  follows  $\sigma$  is a **well-founded tree**.
- We define  $\text{rank}(\sigma)$  as the height of this tree (an ordinal).
- Furthermore, we define

$$\text{rank}_P(\mathcal{G}) := \min\{\text{rank}(\sigma) \mid \sigma \text{ is a winning strategy for } P\}.$$

If  $P$  does not have a winning strategy in  $\mathcal{G}$ , then  $\text{rank}_P(\mathcal{G}) = \infty$ .

- Let  $\mathfrak{A}$  be a structure,  $s$  an assignment  $\varphi$  a sentence of SCL. Given an ordinal  $\alpha$  we write

$$\mathfrak{A}, s \models_{\alpha} \varphi$$

iff the Verifier-rank of  $\mathcal{G}_{\infty}(\mathfrak{A}, s, \varphi)$  is  $< \alpha$ .

- BndSCL is a **semantical variant** of SCL obtained by replacing

$$\models_{\infty} \text{ with } \models_{\omega}$$

## Example

Consider the sentence

$$\varphi := \forall x \forall y (x = y \vee L(E(x, y) \vee \exists z (E(x, z) \wedge \exists x (x = z \wedge C_L))))$$

of SCL and a graph  $G$ .

- $G \models_{\infty} \varphi$  iff  $G$  is connected.
- $G \models_{\omega} \varphi$  iff there exist  $n \in \mathbb{N}$  such that the distance between any two nodes is at most  $n$ .

## Theorem

$\text{BndSCL} < \text{SCL}$ .



# Earlier results on bounded recursion

- Goranko, Hella, Kuusisto and Rönholm have studied extensively bounded variants of standard modal logics with recursion, such as CTL, ATL and  $\mu$ -calculus.
- A common theme has been that the bounded variants are **incomparable** with the original logics with respect to expressive power.
- Furthermore, while the original logics have the finite model property, the bounded variants no longer enjoy it.

## Earlier results on bounded recursion

- Given a pointed Kripke model  $(\mathfrak{M}, w)$ , we say that it has the **bounded dead-end property**, if there exists  $n \in \mathbb{N}$  such that no matter where we go from  $w$  we will eventually reach a dead-end in at most  $n$ -steps.

### Proposition (Folklore)

*The class of pointed Kripke-models which have the bounded dead-end property is not definable in MSO.*

- This class is definable if we can universally quantify over binary relations.

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# Comparing ranks of reachability games

- Let  $\mathcal{G}_1$  and  $\mathcal{G}_2$  be reachability games. We can define a new game  $\mathcal{I}(\mathcal{G}_1, \mathcal{G}_2)$  as follows.
  - Two players, Verifier and Falsifier.
  - Positions are pairs  $(v, v')$ , where  $v$  is a position in  $\mathcal{G}_1$  and  $v'$  is a position in  $\mathcal{G}_2$ .
  - Given a position  $(v, v')$ , the players first make a move in  $\mathcal{G}_1$  and then in  $\mathcal{G}_2$  giving us the next position  $(u, u')$ .
  - Game ends when one of the nodes in  $(v, v')$  is a dead-end.

## Proposition

*Suppose that*

$$\text{rank}_{\text{Falsifier}}(\mathcal{G}_1) \leq \text{rank}_{\text{Verifier}}(\mathcal{G}_2) \text{ and } \text{rank}_{\text{Falsifier}}(\mathcal{G}_1) < \infty.$$

*Then Falsifier has a winning strategy in  $\mathcal{I}(\mathcal{G}_1, \mathcal{G}_2)$ .*

# Comparing ranks of reachability games

- Let us modify the winning conditions of the Verifier in  $\mathcal{I}(\mathcal{G}_1, \mathcal{G}_2)$ : if the players reach a position  $(v, v')$ , where one of the nodes is a dead-end, the winner is determined as follows.
  - If either  $v$  or  $v'$  is a dead-end where it is Falsifier's turn to make a move, Verifier wins.
  - Otherwise the Falsifier wins.

## Proposition

*Suppose that*

$$\text{rank}_{\text{Falsifier}}(\mathcal{G}_1) = \text{rank}_{\text{Verifier}}(\mathcal{G}_2) < \omega$$

*Then Verifier has a winning strategy in  $\mathcal{I}(\mathcal{G}_1, \mathcal{G}_2)$ .*

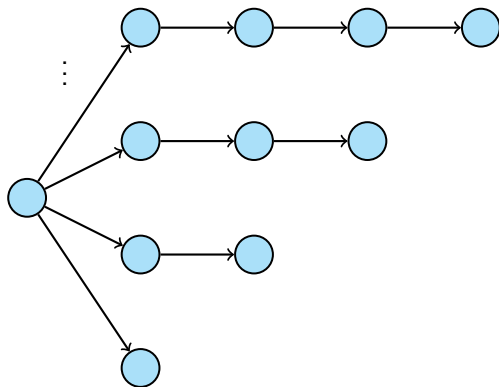
## Proposition

*Suppose that*

$$\omega \leq \text{rank}_{\text{Falsifier}}(\mathcal{G}_1) = \text{rank}_{\text{Verifier}}(\mathcal{G}_2) < \infty$$

*Then Falsifier has a winning strategy in  $\mathcal{I}(\mathcal{G}_1, \mathcal{G}_2)$ .*

# Comparing ranks of reachability games



- Given two sentences  $\varphi$  and  $\psi$  of SCL, it is straightforward to write a sentence  $[\varphi : \psi]$  of SCL with the property

$$\mathfrak{A} \models_{\infty} [\varphi : \psi] \Leftrightarrow$$

Verifier has a winning strategy in  $\mathcal{I}(\mathcal{G}_{\infty}(\mathfrak{A}, \varphi), \mathcal{G}_{\infty}(\mathfrak{A}, \psi))$ .

- Given a sentence  $\varphi$  of SCL, we can construct another sentence  $\varphi^d$  such that the Falsifier-rank of  $\mathcal{G}_{\infty}(\mathfrak{A}, \varphi^d)$  is the same as the Verifier-rank of  $\mathcal{G}_{\infty}(\mathfrak{A}, \varphi)$ .
- Now

$$\mathfrak{A} \models_{\omega} \varphi \Leftrightarrow \mathfrak{A} \models_{\infty} \varphi \wedge [\varphi^d : \varphi].$$



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- An **elementary type** is a set  $\Gamma$  of FO-formulas which all have the same (finite) set of free variables.

## Definition

A structure  $\mathfrak{A}$  is called **recursively saturated**, if for every finite assignment  $r$  over  $A$  we have that each recursive elementary type  $\Gamma$  which is finitely consistent with  $(\mathfrak{A}, r)$  is realized in  $(\mathfrak{A}, r)$ .

- Examples include  $(\mathbb{Q}, <)$ ,  $(\mathbb{C}, +, \cdot)$ , which are also  $\omega$ -**saturated**.

## Theorem

*If  $\mathfrak{A}$  is recursively saturated, then for every assignment  $s$  and a formula  $\varphi$  of SCL we have that*

$$\mathfrak{A}, s \models_{\infty} \varphi \Leftrightarrow \mathfrak{A}, s \models_{\omega} \varphi$$

*In particular,  $\text{BndSCL} \equiv \text{SCL}$  over recursively saturated structures.*

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- Given an ordinal  $\alpha$ ,  $\alpha$ SCL is a semantical variant of SCL obtained by replacing

$$\models_{\infty} \text{ with } \models_{\alpha} .$$

- Example:** let  $\varphi$  be a formula of SCL which defines the class of well-founded linear orders. Now  $\mathfrak{A} \models_{\alpha} \varphi$  iff the order type of every descending sequence is less than  $\alpha$ .
- Question:** what is the smallest ordinal  $\alpha$  for which  $\alpha$ SCL  $\not\subseteq$  SCL?

# $\alpha$ -bounded computational logic (CL)

- CL is obtained from SCL by extending with the capability to “modify” the underlying structure.
- For each  $\alpha < \omega_1^{\text{CK}}$  we have that  $\alpha\text{CL} \leq \text{CL}$ .
- **Question:** what is the smallest ordinal  $\alpha$  for which  $\alpha\text{CL} \not\leq \text{CL}$ ?

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## Theorem (Lindström, 1969)

Let  $\mathcal{L}$  be an effective regular logic such that  $\text{FO} \leq_{\text{eff}} \mathcal{L}$ . Suppose that  $\mathcal{L}$  has the *countable downwards Löwenheim-Skolem property* and its *validity problem is recursively enumerable*. Then  $\mathcal{L} \equiv_{\text{eff}} \text{FO}$ .



- SCL is not a regular logic, because it is not closed under negation.

## Definition

Let  $\mathcal{L} = (L, \models_{\mathcal{L}})$  be an effective logic. We say that  $\mathcal{L}$  has **projective negation**, if for every finite set  $\tau \subseteq \text{dom}(L)$  there exists a symbol set  $\tau \subseteq \xi \subseteq \text{dom}(L)$  and  $\chi \in L(\xi)$  such that for every  $\xi$ -structure  $\mathfrak{A}$  we have that

$$\mathfrak{A} \models_{\mathcal{L}} \chi \text{ iff } \mathfrak{A} \not\models_{\mathcal{L}} \varphi.$$

- SCL has projective negation, because the complement of an SCL-definable class is definable in  $\exists\text{SO}$ .

## Theorem

*Let  $\mathcal{L}$  be an effective semi-regular logic such that  $\text{FO} \leq_{\text{eff}} \mathcal{L}$ . Suppose that  $\mathcal{L}$  has projective negation, the countable downwards Löwenheim-Skolem property and that its FO-consequences are axiomatizable. Then  $\mathcal{L} \equiv \text{FO}$ .*

- In particular, for every effective semi-regular logic  $\mathcal{L}$  for which

$$\text{FO} \leq_{\text{eff}} \mathcal{L} \leq \text{SCL},$$

we have that either  $\text{FO} \equiv \mathcal{L}$  or there is a sentence of  $\mathcal{L}$  whose FO-consequences are not RE.

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- $\text{BndSCL} < \text{SCL} \equiv \text{LFP}_1 < \forall\text{SO}$ .
- Over recursively saturated structures  $\text{BndSCL} \equiv \text{SCL}$ .
- There is no logic between FO and SCL which is stronger than FO and whose FO-consequences are axiomatizable.
- **Question:** what is the smallest ordinal  $\alpha$  for which  $\alpha\text{SCL} \not\equiv \text{SCL}$ ?

# Thanks!