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Reijo Jaakkola

Tampere University Joint work with Antti Kuusisto

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16.2.2023

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Fragments of first-order logic

Classifying fragments ${\cal F}$ of first-order logic ${\rm FO}$ based on whether their satisfiability problem is decidable:

given $\varphi \in \mathcal{F}$, is φ satisfiable?

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Fragments of first-order logic

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Several fragments of FO known that have a decidable satisfiability problem:

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Fragments of first-order logic

Classifying fragments ${\cal F}$ of first-order logic ${\rm FO}$ based on whether their satisfiability problem is decidable:

given $\varphi \in \mathcal{F}$, is φ satisfiable?

Several fragments of FO known that have a decidable satisfiability problem:

monadic first-order logic, two-variable logic, guarded fragment, triguarded fragment, unary negation fragment, guarded negation fragment, uniform one-dimensional fragment, fluted logic, ordered logic, Maslov fragment, Herbrand fragment, positive first-order logic, ...

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The seminal *classical decision problem*, completed in the 80s, classifies *prefix classes* of FO according to whether they are decidable or not.

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The seminal *classical decision problem*, completed in the 80s, classifies *prefix classes* of $\rm FO$ according to whether they are decidable or not. So far there has been no such program for classifying other fragments of $\rm FO$.

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In this work we present a novel approach towards classifying fragments of FO.

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In this work we present a novel approach towards classifying fragments of FO. Our approach is based on *relational algebras*.

If $k \in \mathbb{Z}_+$, then a k-ary AD-relation over a set A is a pair (X, k), where $X \subseteq A^k$.

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If $k \in \mathbb{Z}_+$, then a k-ary AD-relation over a set A is a pair (X, k), where $X \subseteq A^k$. Point is that we want to distinguish $(\emptyset, 1)$ from $(\emptyset, 2)$.

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Definition (Relational operator)

Given a set A, let AD(A) denote the set of all AD-relations over A.

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Definition (Relational operator)

Given a set A, let AD(A) denote the set of all AD-relations over A. A k-ary relational operator F is a mapping (proper class) which associates to every set A a function F_A

 $F_A : \mathrm{AD}(A)^k \to \mathrm{AD}(A)$

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Equality *e*, which is 0-ary: $e_A = \{(a, a) \mid a \in A\}$ Complexity classifications via algebraic logic

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Equality e, which is 0-ary: $e_A = \{(a, a) \mid a \in A\}$

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Complementation \neg : $\neg_A(R)$ = the complement of R Complexity classifications via algebraic logic

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Complementation \neg : $\neg_A(R) =$ the complement of R

Join J: $J_A(R,S) = \{(\overline{a}, \overline{b}) \mid \overline{a} \in R \text{ and } \overline{b} \in S\}$ Complexity classifications via algebraic logic

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General relational algebras

Definition

Let \mathcal{F} be a set of relational operators and let σ be a relational vocabulary. The set of terms $\operatorname{GRA}(\mathcal{F})[\sigma]$ is defined by the following grammar.

$$\mathcal{T} ::= R \mid F(\underbrace{\mathcal{T}, ..., \mathcal{T}}),$$

ar(F)-times

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where $R \in \sigma$ and $F \in \mathcal{F}$.

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General relational algebras

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where $R \in \sigma$ and $F \in \mathcal{F}$.

Definition

Given a model \mathfrak{A} of vocabulary σ and term $\mathcal{T} \in \operatorname{GRA}(\mathcal{F})[\sigma]$, its interpretation $[\![\mathcal{T}]\!]_{\mathfrak{A}}$ is defined recursively as follows.

1.
$$\llbracket R \rrbracket_{\mathfrak{A}} := (R^{\mathfrak{A}}, ar(R))$$

2. $\llbracket F(\mathcal{T}_1,...,\mathcal{T}_n) \rrbracket_{\mathfrak{A}} := F_A(\llbracket \mathcal{T}_1 \rrbracket_{\mathfrak{A}},...,\llbracket \mathcal{T}_n \rrbracket_{\mathfrak{A}})$

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Algebraic characterisation of first-order logic

Each formula $\varphi(x_1, \ldots, x_k)$ of FO (or any logic for that matter) defines in a natural way an AD-relation over each structure \mathfrak{A} :

 $\varphi^{\mathfrak{A}} = (\{(a_1, \ldots, a_k) \in A^k \mid \mathfrak{A} \models \varphi(a_1, \ldots, a_k)\}, k)$

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Hence one can compare the expressive powers of logics and algebras in a natural way.

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Hence one can compare the expressive powers of logics and algebras in a natural way.

Theorem

 $GRA(e, p, s, I, \neg, J, \exists)$ is equi-expressive with FO.

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The suffix intersection \cap is defined as follows: include in $(R \cap S)$ every tuple of the higher-arity relation (say, R) that has as suffix a tuple of the smaller-arity relation.

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The suffix intersection \cap is defined as follows: include in $(R \cap S)$ every tuple of the higher-arity relation (say, R) that has as suffix a tuple of the smaller-arity relation.

The **one-dimensional negation** \neg_1 is defined by setting that $\neg_1 R$ is empty if ar(R) > 1, and $\neg R$ otherwise.

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The suffix intersection $\dot{\cap}$ is defined as follows: include in $(R \dot{\cap} S)$ every tuple of the higher-arity relation (say, R) that has as suffix a tuple of the smaller-arity relation.

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Theorem

1. FL is equi-expressive with $GRA(\neg, \dot{\cap}, \exists)$.

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- 2. FO² is equi-expressive with $GRA(e, s, \neg, \dot{\cap}, \exists)$ over binary vocabularies.

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- 3. GF is equi-expressive with $GRA(e, p, s, \backslash, \dot{\cap}, \exists)$ on the level of sentences.

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- UNFO is equi-expressive with GRA(e, p, s, I, ¬1, J, J, ∃), where J is the dual operator of J.

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- UNFO is equi-expressive with GRA(e, p, s, I, ¬1, J, J, ∃), where J is the dual operator of J.

In all of these cases the translations are poly-time computable, so the complexities coincide.

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What are the complexities of fragments of $GRA(e, p, s, I, \neg, J, \exists)$?

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What are the complexities of fragments of $GRA(e, p, s, I, \neg, J, \exists)$?

Theorem

1. GRA $(p, s, I, \neg, J, \exists)$ is Π_1^0 -complete.

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What are the complexities of fragments of $GRA(e, p, s, I, \neg, J, \exists)$?

Theorem

1. GRA($p, s, l, \neg, J, \exists$) is Π_1^0 -complete. In fact, already its fragment GRA(p, l, \neg, J, \exists) is Π_1^0 -hard.

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What are the complexities of fragments of $GRA(e, p, s, I, \neg, J, \exists)$?

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- GRA(p, s, I, ¬, J, ∃) is Π⁰₁-complete. In fact, already its fragment GRA(p, I, ¬, J, ∃) is Π⁰₁-hard.
- 2. GRA($e, p, s, \neg, J, \exists$) is NP-complete.

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- 2. GRA($e, p, s, \neg, J, \exists$) is NP-complete.
- 3. $GRA(e, p, s, I, J, \exists)$ is trivial.

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- 3. $GRA(e, p, s, I, J, \exists)$ is trivial.
- 4. $GRA(e, p, s, I, \exists)$ is solvable by a finite automaton.

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- 5. GRA(e, p, s, I, J) is NP-complete.

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- 4. $GRA(e, p, s, I, \exists)$ is solvable by a finite automaton.
- GRA(e, p, s, I, J) is NP-complete. In fact, already its fragment GRA(p, I, J) is NP-complete.

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- 2. GRA($e, p, s, \neg, J, \exists$) is NP-complete.
- 3. $GRA(e, p, s, I, J, \exists)$ is trivial.
- 4. $GRA(e, p, s, I, \exists)$ is solvable by a finite automaton.
- 5. GRA(e, p, s, l, J) is NP-complete. In fact, already its fragment GRA(p, l, J) is NP-complete.

 $GRA(e, s, I, \neg, J, \exists)$ is decidable, *but* no tight upper bound on the complexity.

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Combining different operators

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It is also natural to look at different combinations of relational operators.

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Theorem

 $GRA(e, s, \backslash, \dot{\cap}, \exists)$ is EXPTIME-complete.

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It is also natural to look at different combinations of relational operators.

Theorem

 $GRA(e, s, \backslash, \dot{\cap}, \exists)$ is EXPTIME-complete.

Several results of this flavour were also established in (Jaakkola, 2021), where it was proven that e.g. $\operatorname{GRA}(s,\neg,\dot{\cap},\exists)$ is Π_1^0 -complete.

 Is the satisfiability problem of GRA(e, s, I, ¬, J, ∃) solvable in exponential time? Complexity classifications via algebraic logic

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- 1. Is the satisfiability problem of $GRA(e, s, I, \neg, J, \exists)$ solvable in exponential time?
- Is there a finite set of relational operators {f₁,..., f_n} s.t. GRA(f₁,..., f_n) is equi-expressive (at least on the level of sentences) with the guarded negation fragment?

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- 1. Is the satisfiability problem of $GRA(e, s, I, \neg, J, \exists)$ solvable in exponential time?
- 2. Is there a finite set of relational operators $\{f_1, \ldots, f_n\}$ s.t. $GRA(f_1, \ldots, f_n)$ is equi-expressive (at least on the level of sentences) with the guarded negation fragment?

Find natural algebraic properties P s.t. if every relational operator in {f₁,..., f_n} has property P, then the satisfiability problem of GRA(f₁,..., f_n) is decidable.

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Reijo Jaakkol

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- 1. Is the satisfiability problem of $GRA(e, s, I, \neg, J, \exists)$ solvable in exponential time?
- 2. Is there a finite set of relational operators $\{f_1, \ldots, f_n\}$ s.t. $GRA(f_1, \ldots, f_n)$ is equi-expressive (at least on the level of sentences) with the guarded negation fragment?
- Find natural algebraic properties P s.t. if every relational operator in {f₁,..., f_n} has property P, then the satisfiability problem of GRA(f₁,..., f_n) is decidable.

Thanks! :-)

Complexity classifications via algebraic logic

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