

# Algebraic classifications for fragments of first-order logic and beyond

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Current research also goes beyond first-order logic, e.g. logics with fixed-point operators.

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# General relational algebra

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Given a (relational) vocabulary  $\tau$ , we define the set of  $\tau$ -terms GRA as

$$\mathcal{T} ::= u \mid R \mid p\mathcal{T} \mid s\mathcal{T} \mid \neg\mathcal{T} \mid I\mathcal{T} \mid J(\mathcal{T}, \mathcal{T}) \mid \exists\mathcal{T}$$

where  $R \in \tau$ .

## Arity definite relations

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AD-relations also allow us to apply projection on an empty relation, since the projection of  $(\emptyset, 2)$  is just  $(\emptyset, 1)$ .

## AD-relations defined by FO formulas

Consider a first-order formula  $\varphi(v_{i_1}, \dots, v_{i_k})$ , where the free variables of  $\varphi$  are exactly  $v_{i_1}, \dots, v_{i_k}$  and  $i_1 < \dots < i_k$ .

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The formula  $\varphi(v_{i_1}, \dots, v_{i_k})$  defines an AD-relation on every model  $\mathfrak{A}$

$$(\{(a_1, \dots, a_k) \in A^k \mid \mathfrak{A} \models \varphi(a_1, \dots, a_k)\}, k)$$

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For example  $\varphi(x_1, x_2)$  and  $\varphi(x_7, x_9)$  define the same AD-relations. Also note that  $R(v_1, v_3, v_3)$  defines a binary AD-relation.

# Semantics of GRA

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$R$  ) Here  $R$  is a  $k$ -ary relation symbol in  $\tau$ , so  $R$  is a constant term in the algebra. We define

$$R^{\mathfrak{A}} = (\{(a_1, \dots, a_k) \mid \mathfrak{A} \models R(a_1, \dots, a_k)\}, k).$$

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$u$  ) We define  $u^{\mathfrak{A}} = (A, 1)$ . The constant  $u$  can be called the **universe** constant or the **universal unary relation** constant.



# Semantics of GRA

$p$  ) If  $ar(\mathcal{T}) = k \geq 2$ , we define

$$(p(\mathcal{T}))^{\mathfrak{A}} = (\{(a_2, \dots, a_k, a_1) \mid (a_1, \dots, a_k) \in \mathcal{T}^{\mathfrak{A}}\}, k).$$

We call  $p$  the **permutation** operator, or **cyclic permutation** operator.

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$p$  ) If  $ar(\mathcal{T}) = k \geq 2$ , we define

$$(p(\mathcal{T}))^{2l} = (\{(a_2, \dots, a_k, a_1) \mid (a_1, \dots, a_k) \in \mathcal{T}^{2l}\}, k).$$

We call  $p$  the **permutation** operator, or **cyclic permutation** operator.

$s$  ) If  $ar(\mathcal{T}) = k \geq 2$ , we define

$$(s(\mathcal{T}))^{2l} = (\{(a_2, a_1, a_3, \dots, a_k) \mid (a_1, \dots, a_k) \in \mathcal{T}^{2l}\}, k).$$

We refer to  $s$  as the **swap** operator.

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$l$  ) If  $ar(\mathcal{T}) = k \geq 2$ , we let

$$(l(\mathcal{T}))^{\mathfrak{A}} = (\{(a_1, \dots, a_k) \mid (a_1, \dots, a_k) \in \mathcal{T}^{\mathfrak{A}} \text{ and } a_1 = a_2\}, k).$$

We refer to  $l$  as the **identity** operator, or **equality** operator.

# Semantics of GRA

$I$  ) If  $ar(\mathcal{T}) = k \geq 2$ , we let

$$(I(\mathcal{T}))^{\exists} = (\{(a_1, \dots, a_k) \mid (a_1, \dots, a_k) \in \mathcal{T}^{\exists} \text{ and } a_1 = a_2\}, k).$$

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$\exists$  ) If  $ar(\mathcal{T}) = k \geq 1$ , we let

$$(\exists(\mathcal{T}))^{\exists} = (\{(a_2, \dots, a_k) \mid (a_1, \dots, a_k) \in \mathcal{T}^{\exists} \text{ for some } a_1 \in A\}, k - 1).$$

We call  $\exists$  the **existence** operator, or **projection** operator.

# Semantics of GRA

$J$  ) Let  $ar(\mathcal{T}) = k$  and  $ar(\mathcal{S}) = \ell$ . We define

$$(J(\mathcal{T}, \mathcal{S}))^{\mathfrak{A}} = (\mathcal{T}^{\mathfrak{A}} \times \mathcal{S}^{\mathfrak{A}}, k + \ell).$$

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$\neg$  ) Let  $ar(\mathcal{T}) = k$ . We define

$$(\neg(\mathcal{T}))^{\mathfrak{A}} = (A^k \setminus \mathcal{T}^{\mathfrak{A}}, k).$$

We refer to  $\neg$  as the **negation** or **complementation** operator.

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Direction from GRA to FO is straightforward. We will focus on pointing out the main ideas for the other direction.

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The case of formulas  $R(v_{i_1}, \dots, v_{i_k})$  is more involved. First note that if no variable occurs twice in the tuple  $(v_{i_1}, \dots, v_{i_k})$  and  $i_1 < \dots < i_k$ , then we can translate  $R(v_{i_1}, \dots, v_{i_k})$  simply to  $R$ .

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In the other case start with  $R$  and then use  $p, s, l$  and  $\exists$  to express what elements are the same, after which we use  $p$  and  $s$  to order the remaining elements in the desired order.

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3. Next we reduce the arity of the term by using projection  $\exists$ . This results in the term  $\exists lppR$ , which is equivalent to  $R(v_1, v_2, v_1)$ .
4. We use  $s$  to swap the places of  $v_1$  and  $v_2$ . The resulting term  $s\exists lppR$  is then equivalent to  $R(v_2, v_1, v_2)$ .

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Also we might have to use  $p$  and  $s$  to reorder elements in the tuples. For example we could have a case like  $\varphi(v_1, v_3) \wedge \psi(v_2)$ .

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Use  $p$  together with  $\exists$  to project away the correct element. For example  $\exists v_2 R(v_1, v_2, v_3)$  is equivalent to  $p \exists p R$ .

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4.  $\text{GRA}(p, I, \neg, J, \exists)$  is  $\Pi_1^0$ -complete and thus removing  $u$  or  $s$  does not lead to a decidable logic.

Complexity of  $\text{GRA} \setminus p$  remains as an open problem, but we conjecture that it is decidable.

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One can also study weaker, stronger as well as orthogonal systems. For this purpose we provide a definition for a general relation operator.

## Generalized relation operator

Let  $AD_A$  denote the set of all AD-relations over  $A$ . An AD-structure is a tuple  $(A, T_1, \dots, T_k)$ , where  $T_1, \dots, T_k \in AD_A$ .

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A bijection  $g : A \rightarrow B$  is an isomorphism between AD-structures  $(A, T_1, \dots, T_k)$  and  $(B, S_1, \dots, S_k)$ , if  $ar(T_i) = ar(S_i)$ , for every  $i$ , and  $g$  is an ordinary isomorphism between  $(A, rel(T_1), \dots, rel(T_k))$  and  $(B, rel(S_1), \dots, rel(S_k))$ .



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We also require that  $f^A$  is isomorphism invariant: if  $(A, T_1, \dots, T_k)$  and  $(B, S_1, \dots, S_k)$  are isomorphic via  $g$ , then also  $(A, f^A(T_1, \dots, T_k))$  and  $(B, f^A(S_1, \dots, S_k))$  are, likewise, isomorphic via  $g$ .

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Generalized quantifiers can be seen as a relation operators that always output either  $(\{\emptyset\}, 0) = \top_0$  or  $(\emptyset, 0) = \perp_0$ .

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The suffix intersection is a generalization of intersection which can operate on relations of different arity. For example  $R(x, y) \wedge P(y)$  is equivalent to  $R \dot{\cap} P$ .

# Algebraic characterizations for $\text{FO}^2$ , GF and FL

## Theorem

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FL and  $GRA(\neg, \dot{\cap}, \acute{\exists})$  are equiexpressive.