## What is a fragment?

Reijo Jaakkola

Tampere University

November 11, 2021

◆□▶ ◆□▶ ◆臣▶ ◆臣▶ 臣 のへで

 After it was proved that the satisfiability problem of first-order logic is undecidable, the research around the automatizability of logical reasoning (within first-order logic) continued with more modest aims: how should one restrict the syntax of first-order logic to obtain fragments that are relatively expressive and for which the satisfiability problem is decidable?

◆□▶ ◆□▶ ◆□▶ ◆□▶ □ のQ@

- After it was proved that the satisfiability problem of first-order logic is undecidable, the research around the automatizability of logical reasoning (within first-order logic) continued with more modest aims: how should one restrict the syntax of first-order logic to obtain fragments that are relatively expressive and for which the satisfiability problem is decidable?
- The research around this question has remained active to this day, but is currently in **my opinion** stuck: the field has a large body of results but it is lacking direction.

▲ロ ▶ ▲周 ▶ ▲ 国 ▶ ▲ 国 ▶ ● の Q @

- After it was proved that the satisfiability problem of first-order logic is undecidable, the research around the automatizability of logical reasoning (within first-order logic) continued with more modest aims: how should one restrict the syntax of first-order logic to obtain fragments that are relatively expressive and for which the satisfiability problem is decidable?
- The research around this question has remained active to this day, but is currently in **my opinion** stuck: the field has a large body of results but it is lacking direction.

▲ロ ▶ ▲周 ▶ ▲ 国 ▶ ▲ 国 ▶ ● の Q @

• An almost obvious research direction candidate would be to classify fragments of first-order logic based on whether they are decidable or not.

- After it was proved that the satisfiability problem of first-order logic is undecidable, the research around the automatizability of logical reasoning (within first-order logic) continued with more modest aims: how should one restrict the syntax of first-order logic to obtain fragments that are relatively expressive and for which the satisfiability problem is decidable?
- The research around this question has remained active to this day, but is currently in **my opinion** stuck: the field has a large body of results but it is lacking direction.

▲□▶ ▲□▶ ▲□▶ ▲□▶ □ のQで

 An almost obvious research direction candidate would be to classify fragments of first-order logic based on whether they are decidable or not. However, to make a formal conjecture, we need to first specify what fragments we want to study. Short introduction to the satisfiability problem

▲□▶ ▲□▶ ▲ 三▶ ▲ 三▶ 三三 - のへぐ

- Examples of fragments
- Olassification of fragments
- Expressive power vs. decidability

 Fix a logic *L*. The satisfiability problem SAT(*L*) of *L* is the problem of determining whether a given sentence φ ∈ *L* is satisfiable (has a model).

Fix a logic *L*. The satisfiability problem SAT(*L*) of *L* is the problem of determining whether a given sentence φ ∈ *L* is satisfiable (has a model). Analogously, the finite satisfiability problem FINSAT(*L*) of *L* is the problem of determining whether a given sentence φ ∈ *L* has a finite model.

◆□▶ ◆□▶ ◆三▶ ◆三▶ 三三 のへぐ

- Fix a logic *L*. The satisfiability problem SAT(*L*) of *L* is the problem of determining whether a given sentence φ ∈ *L* is satisfiable (has a model). Analogously, the finite satisfiability problem FINSAT(*L*) of *L* is the problem of determining whether a given sentence φ ∈ *L* has a finite model.
- Both problems are well-known to be undecidable for first-order logic *FO* (SAT(*FO*) is Π<sup>0</sup><sub>1</sub>-complete and FINSAT(*FO*) is Σ<sup>0</sup><sub>1</sub>-complete).

- Fix a logic *L*. The satisfiability problem SAT(*L*) of *L* is the problem of determining whether a given sentence φ ∈ *L* is satisfiable (has a model). Analogously, the finite satisfiability problem FINSAT(*L*) of *L* is the problem of determining whether a given sentence φ ∈ *L* has a finite model.
- Both problems are well-known to be undecidable for first-order logic *FO* (SAT(*FO*) is Π<sup>0</sup><sub>1</sub>-complete and FINSAT(*FO*) is Σ<sup>0</sup><sub>1</sub>-complete).

• A lot of research around *fragments* of *FO*, i.e. subsets of *FO*.

- Fix a logic *L*. The satisfiability problem SAT(*L*) of *L* is the problem of determining whether a given sentence φ ∈ *L* is satisfiable (has a model). Analogously, the finite satisfiability problem FINSAT(*L*) of *L* is the problem of determining whether a given sentence φ ∈ *L* has a finite model.
- Both problems are well-known to be undecidable for first-order logic *FO* (SAT(*FO*) is Π<sup>0</sup><sub>1</sub>-complete and FINSAT(*FO*) is Σ<sup>0</sup><sub>1</sub>-complete).
- A lot of research around *fragments* of *FO*, i.e. subsets of *FO*. The goal is to find expressive fragments with a decidable satisfiability problem.

 $\bullet\,$  Prefix fragments: fix a family  ${\cal F}$  of prefixes and consider the set of sentences of the form

$$Q_1x_1\ldots Q_nx_n\psi(x_1,\ldots,x_n),$$

・ロト・日本・ヨト・ヨー うへの

where  $(Q_1, \ldots, Q_n) \in \mathcal{F}$  and  $\psi$  is a quantifier-free formula.

 $\bullet\,$  Prefix fragments: fix a family  ${\cal F}$  of prefixes and consider the set of sentences of the form

$$Q_1 x_1 \ldots Q_n x_n \psi(x_1, \ldots, x_n),$$

where  $(Q_1, \ldots, Q_n) \in \mathcal{F}$  and  $\psi$  is a quantifier-free formula.

• Two-variable fragment: restrict attention to those sentences in which only two variables, say x and y, occur.

 $\exists x \exists y (R(x, y) \land \exists x (R(y, x) \land \exists y R(x, y)))$ 

▲□▶▲□▶▲≡▶▲≡▶ ≡ めぬぐ

 $\bullet\,$  Prefix fragments: fix a family  ${\cal F}$  of prefixes and consider the set of sentences of the form

$$Q_1 x_1 \ldots Q_n x_n \psi(x_1, \ldots, x_n),$$

where  $(Q_1, \ldots, Q_n) \in \mathcal{F}$  and  $\psi$  is a quantifier-free formula.

• Two-variable fragment: restrict attention to those sentences in which only two variables, say x and y, occur.

$$\exists x \exists y (R(x, y) \land \exists x (R(y, x) \land \exists y R(x, y)))$$

 Unary-negation fragment: negation can only be applied to formulas which have at most one free variable.

$$\neg \exists x \exists y (R(x, y) \land \neg P(y))$$

▲□▶▲□▶▲≡▶▲≡▶ ≡ めぬぐ

 $\bullet\,$  Prefix fragments: fix a family  ${\cal F}$  of prefixes and consider the set of sentences of the form

$$Q_1 x_1 \ldots Q_n x_n \psi(x_1, \ldots, x_n),$$

where  $(Q_1, \ldots, Q_n) \in \mathcal{F}$  and  $\psi$  is a quantifier-free formula.

• Two-variable fragment: restrict attention to those sentences in which only two variables, say x and y, occur.

$$\exists x \exists y (R(x,y) \land \exists x (R(y,x) \land \exists y R(x,y)))$$

 Unary-negation fragment: negation can only be applied to formulas which have at most one free variable.

$$\neg \exists x \exists y (R(x, y) \land \neg P(y))$$

• Several other fragments known: monadic first-order logic, guarded fragment, triguarded fragment, guarded negation fragment, uniform one-dimensional fragment, fluted logic, ordered logic, Maslov fragment, Herbrand fragment, positive first-order logic, ...

• Possible answer: we are trying to classify fragments based on whether they are decidable or not.

▲□▶ ▲□▶ ▲ 三▶ ▲ 三▶ 三三 - のへぐ

- Possible answer: we are trying to classify fragments based on whether they are decidable or not.
  - Too many fragments to consider and (probably) most of them are of no interest to anyone.

◆□▶ ◆□▶ ◆三▶ ◆三▶ 三三 のへぐ

- Possible answer: we are trying to classify fragments based on whether they are decidable or not.
  - Too many fragments to consider and (probably) most of them are of no interest to anyone.
  - The problem of determining whether a given recursive subset of  $\mathcal{FO}$  is decidable is  $\Sigma^0_{q^-} complete.$

▲□▶▲□▶▲≡▶▲≡▶ ≡ めぬる

- Possible answer: we are trying to classify fragments based on whether they are decidable or not.
  - Too many fragments to consider and (probably) most of them are of no interest to anyone.
  - The problem of determining whether a given recursive subset of  $\mathcal{FO}$  is decidable is  $\Sigma^0_{q^-} complete.$

▲□▶▲□▶▲≡▶▲≡▶ ≡ めぬる

• But on the other hand we are not interested in every single fragment.

- Possible answer: we are trying to classify fragments based on whether they are decidable or not.
  - Too many fragments to consider and (probably) most of them are of no interest to anyone.
  - The problem of determining whether a given recursive subset of  $\mathcal{FO}$  is decidable is  $\Sigma^0_{q^-} complete.$

◆□▶ ◆□▶ ◆□▶ ◆□▶ □ のQ@

• But on the other hand we are not interested in every single fragment. What fragments are interesting to us?

- Possible answer: we are trying to classify fragments based on whether they are decidable or not.
  - Too many fragments to consider and (probably) most of them are of no interest to anyone.
  - The problem of determining whether a given recursive subset of  $\mathcal{FO}$  is decidable is  $\Sigma^0_{q-} complete.$
- But on the other hand we are not interested in every single fragment. What fragments are interesting to us?
  - Difficult to answer since any answer should cover fragments with quite distinct syntax (e.g. prefix-fragments versus two-variable logic).

▲ロ ▶ ▲周 ▶ ▲ 国 ▶ ▲ 国 ▶ ● の Q @

- Possible answer: we are trying to classify fragments based on whether they are decidable or not.
  - Too many fragments to consider and (probably) most of them are of no interest to anyone.
  - The problem of determining whether a given recursive subset of  $\mathcal{FO}$  is decidable is  $\Sigma^0_{q-} complete.$
- But on the other hand we are not interested in every single fragment. What fragments are interesting to us?
  - Difficult to answer since any answer should cover fragments with quite distinct syntax (e.g. prefix-fragments versus two-variable logic).

▲ロ ▶ ▲周 ▶ ▲ 国 ▶ ▲ 国 ▶ ● の Q @

• We can try to come up with natural requirements that a fragment should satisfy.

• Claim: there should be no reasonable fragment which has the same expressive power as first-order logic, but which is nevertheless decidable.

▲□▶ ▲□▶ ▲ 三▶ ▲ 三▶ 三三 - のへぐ

- Claim: there should be no reasonable fragment which has the same expressive power as first-order logic, but which is nevertheless decidable.
  - All of the known decidable fragments of first-order logic are **much** weaker than  $\mathcal{FO}$  with respect to expressive power.

◆□▶ ◆□▶ ◆□▶ ◆□▶ □ のQ@

- Claim: there should be no reasonable fragment which has the same expressive power as first-order logic, but which is nevertheless decidable.
  - All of the known decidable fragments of first-order logic are much weaker than  $\mathcal{FO}$  with respect to expressive power.

▲ロ ▶ ▲周 ▶ ▲ 国 ▶ ▲ 国 ▶ ● の Q @

• It turns out that if we require that a reasonable fragment has a recursive syntax, then the above claim holds, because the set of valid sentences of  $\mathcal{FO}$  is recursively enumerable.

- Claim: there should be no reasonable fragment which has the same expressive power as first-order logic, but which is nevertheless decidable.
  - All of the known decidable fragments of first-order logic are much weaker than  ${\cal FO}$  with respect to expressive power.
- It turns out that if we require that a reasonable fragment has a recursive syntax, then the above claim holds, because the set of valid sentences of  $\mathcal{FO}$  is recursively enumerable.
- However, it does not hold if we restrict our attention to finite models:

$$\{\bot\} \cup \{\varphi^n \mid \varphi \in \mathcal{FO} \text{ has a model of size } n\}$$

Here

$$\varphi^n := \underbrace{\varphi \wedge \cdots \wedge \varphi}_{n\text{-times}}$$

▲ロ ▶ ▲周 ▶ ▲ 国 ▶ ▲ 国 ▶ ● の Q @

To exclude the previous counterexample, we will require that a reasonable fragment *L* should be effectively closed under conjunction: there should exists a computable function *f* : *L* × *L* → *L* so that *f*(*φ*, *ψ*) is equivalent with *φ* ∧ *ψ*.

▲□▶▲□▶▲≡▶▲≡▶ ≡ めぬる

- To exclude the previous counterexample, we will require that a reasonable fragment L should be effectively closed under conjunction: there should exists a computable function f : L × L → L so that f(φ, ψ) is equivalent with φ ∧ ψ.
- One can show that there exists no recursive subset  $\mathcal{L}$  of  $\mathcal{FO}$  which has the same expressive power as  $\mathcal{FO}$  over finite models, is effectively closed under conjunction and has a decidable finite satisfiability problem.

▲□▶ ▲□▶ ▲□▶ ▲□▶ ▲□ ● ● ●

• Restricting attention to fragments that have a recursive syntax and are effectively closed under conjunction does not exclude all the "unnatural" fragments.

▲□▶ ▲□▶ ▲ 三▶ ▲ 三▶ 三三 - のへぐ

 Restricting attention to fragments that have a recursive syntax and are effectively closed under conjunction does not exclude all the "unnatural" fragments. For instance, if τ = {0,<sup>-1</sup>, e} is the vocabulary of groups and ψ ∈ FO[τ] is the conjunction of group axioms, then

$$\{\psi \to \varphi \mid \varphi \in \mathcal{FO}[\tau]\}$$

is a fragment which has a recursive syntax and is effectively closed under conjunction.

▲□▶▲□▶▲≡▶▲≡▶ ≡ めぬる

 Restricting attention to fragments that have a recursive syntax and are effectively closed under conjunction does not exclude all the "unnatural" fragments. For instance, if τ = {0,<sup>-1</sup>, e} is the vocabulary of groups and ψ ∈ FO[τ] is the conjunction of group axioms, then

$$\{\psi \to \varphi \mid \varphi \in \mathcal{FO}[\tau]\}$$

is a fragment which has a recursive syntax and is effectively closed under conjunction. How to exclude such fragments?

▲□▶▲□▶▲≡▶▲≡▶ ≡ めぬる

 Restricting attention to fragments that have a recursive syntax and are effectively closed under conjunction does not exclude all the "unnatural" fragments. For instance, if τ = {0,<sup>-1</sup>, e} is the vocabulary of groups and ψ ∈ FO[τ] is the conjunction of group axioms, then

$$\{\psi \to \varphi \mid \varphi \in \mathcal{FO}[\tau]\}$$

is a fragment which has a recursive syntax and is effectively closed under conjunction. How to exclude such fragments?

▲□▶ ▲□▶ ▲□▶ ▲□▶ ▲□ ● ● ●

# Thanks!